

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Toru KAMBAYASHI, et al.

SERIAL NO: NEW DIVISIONAL APPLICATION

GAU:

FILED: HEREWITH

EXAMINER:

FOR: INFORMATION RECORDING DEVICE AND INFORMATION REPRODUCING DEVICE

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references were made of record in parent application serial no. 09/518,130, filed March 3, 2000, as are either statements of relevancy or any readily available English translations of pertinent portions of any n n-English language references.

- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.

- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

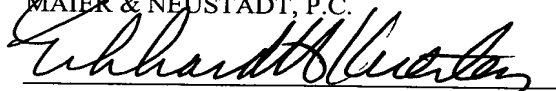
- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



Eckhard H. Kuesters

Registration No. 28,870



22850

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. <b>239067US2SRD DIV</b>		SERIAL NO. <b>NEW APPLICATION</b>	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT <b>Toru KAMBAYASHI, et al.</b>			
				FILING DATE <b>HEREWITH</b>		GROUP	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6,226,618	05-2001	DOWNS ET AL			
	AB	2001/0052001	12-2001	STERN			
	AC	6,389,402	05-2002	GINTER ET AL			
	AD	5,935,243	08/10/99	HASEBE ET AL			
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES                  NO		
	AO	EP 0809221 A2	11-1997	DE FR GB NL SE			
	AP	7-235131	09/05/95	JAPAN			X
	AQ	9-171619	06/30/97	JAPAN			X
	AR	0 813 194	12/17/97	EUROPE			
	AS	0 691,762	01/10/96	EUROPE			
	AT	1 006 526	06/07/00	EUROPE			
	AU	0 809 244	11/26/97	EUROPE			
	AV	0 807 931	11/19/97	EUROPE			
	AW	0 889 470	01/07/99	EUROPE			
	AX	0 769 780	04/23/97	EUROPE			
	AY	2000-099010	7-2000	JAPAN			
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AY	Dialog file 148, Accession No. 08822460 to Yamada, Ken discloses a management system for checking software rights.					
	AZ						
	AAB					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							